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PATENT APPLICATION

\S IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Atty. Dkt.: Q62494

Ryo KUBOTO, et al.

Appln. No. 09/817,233

Group Art Unit: 2812

Confirmation No.: 8072

Examiner: Unknown

Filed: March 27, 2001

For:

MANUFACTURING METHOD OF SEMICONDUCTOR DEVICE HAVING DRAM

CAPACITORS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the document which is listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. U.S. Patent No. 5,786,250 issued July 28, 1998 to Wu et al.

One copy of the listed document is submitted herewith. This reference was steed in an Office Action dated February 25, 2002 in connection with the counterpart Taiwanes patent application. A copy of the corresponding Communication from the Taiwanese Patent Office is not enclosed herewith, but is available upon request.

KUBOTA et al.

Appln. No. 09/817,233

Information Disclosure Statement

The present Information Disclosure Statement is being filed: (1) No later than three

months from the application's filing date for an application other than a continued prosecution

application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the

merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed document is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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